

Microstructure of a Cu film grown on bcc Ta (100) by large-scale molecular-dynamics simulationsIvan Lazić,^{1,2,*} Peter Klaver,¹ and Barend Thijsse¹¹*Department of Materials Science and Engineering, Faculty of 3mE, TU Delft, Mekelweg 2, 2628 CD Delft, The Netherlands*²*Faculty of Applied Sciences, Kavli Institute of Nanoscience, TU Delft, Lorentzweg 1, 2628 CJ Delft, The Netherlands*

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Molecular-dynamics simulations using embedded atom method potentials were carried out to study the growth and subsequent annealing of a 6.3 monolayer thick Cu film on a bcc-Ta (100) substrate of a very large area ($100 \times 100 \text{ nm}^2$). The purpose is to obtain, for this typical example of a crystallographically incompatible system, atomic-level insight into the microstructural evolution of the film/substrate interface and the film itself, with the limiting influence of in-plane boundary conditions kept to a minimum. It is found that the first Cu plane grows heteroepitaxially on the bcc-Ta (100) surface. The second Cu plane is the most interesting plane; it grows in the form of a pattern of 26-atom misfit supercells which after prolonged film deposition relax energetically, by breaking up in groups and forming 30 Å wide in-plane island strips separated by fcc (1/6)(211) vectors. The distorted monolayer represents a way of enabling epitaxy between very different crystal structures without introducing misfit dislocations. The third and higher Cu planes are fcc (111) planes. The Cu film is polycrystalline, starting from the second plane up, with two different in-plane crystal orientations. The influence of a one-monolayer Ta terrace on the substrate is minimal. Upon annealing the film at elevated temperatures, a tendency toward island coarsening and agglomeration is observed, but a full three-dimensional island formation such as found experimentally is not observed. Compared to an earlier simulation on a smaller substrate area ($18 \times 18 \text{ nm}^2$), the current simulations have produced a much richer microstructure. The present results therefore warn against the use of too small simulation domains when complex strain fields can be expected.

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I. INTRODUCTION

Understanding the growth of Cu thin films on Ta substrates is fundamentally as well as technologically important. The fundamental importance is due to the fact that Cu-Ta is a prime example of a strongly heterogeneous film-substrate system: in equilibrium Cu has the fcc and Ta has the bcc crystal structure, the atomic diameters differ by a factor of 1.12, the bulk and shear moduli both differ by a factor of 1.43, the cohesion energies differ by a factor of 2.29, and the melting temperatures differ by a factor of 2.92, with in all cases Ta having the greater value. The technological importance lies in modern integrated circuit (IC) interconnects. Tantalum is a diffusion barrier material, and the microstructure of the Cu film affects its adhesion to Ta and the microstructure of the subsequent Cu fill (electromigration resistance). Atomistic simulations can provide new insights in the formation and structure of Cu films grown on Ta substrates.

In earlier work, classical molecular-dynamics (MD) results of Cu deposition on bcc-Ta (100) and (110) (Ref. 1) and β -Ta (001) (Ref. 2) were reported (β -Ta is a metastable form of Ta that is sometimes found in thin films). While much new information was obtained from these simulations, the Ta substrates were only modest in size ($18 \times 18 \text{ nm}^2$). Therefore, the in-plane periodic boundary conditions may very well have altered certain growth phenomena in the film, especially those involving extended stress fields. For instance, it was observed that the Cu film growing on the bcc-Ta (100) was monocrystalline from the third layer onward. This might be an indication that the system was too small, at least so small that different in-plane grain orientations—and therefore grain boundaries (GBs)—were artificially suppressed. It

was therefore decided to continue the work and simulate a much larger system. With boundary condition effects reduced by an order of magnitude and with step edges of various orientations added to the substrate, it was expected that a richer and more realistic variety of crystal defects could be studied.

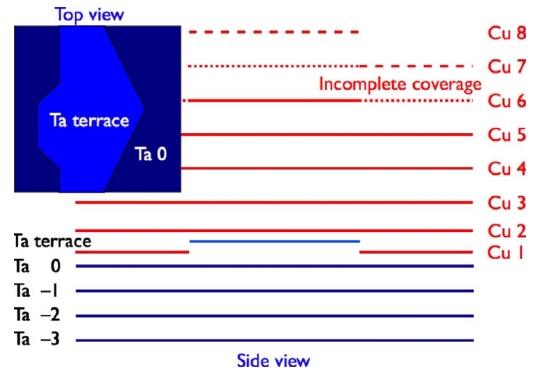
In this work we report simulations of Cu growth on a bcc-Ta (100) substrate of an unusually large size, $100 \times 100 \text{ nm}^2$. Prior to Cu growth, a single-monolayer (ML) Ta terrace was added on top of the substrate in order to be able to study possible step-edge effects in the same simulation run. We will discuss the host of phenomena that emerged in the successive atomic Cu planes during and after the deposition: heteroepitaxy, grain boundaries, dislocations, step-edge effects, point defects, and texture. It will be shown that, after a few initial planes exhibiting different types of morphology, a textured polycrystalline fcc-Cu (111) film develops. The analysis of the symmetry types of the local atomic angular environments turned out to be a very successful tool in this study, and we will explain how this is done. Very recently, atomistic simulations of surface wetting and dewetting in the Cu-Ta system were reported by Hashibon *et al.*³ A number of differences with the current work make it difficult to compare the results. Most notably, Hashibon *et al.* placed a Cu film against a Ta substrate, rather than performing an actual deposition simulation, which is a rather unphysical approach and would likely result in a film that would dewet more easily than one that was deposited atom by atom. Also, their Ta substrate was (110) oriented and much smaller in size, approximately 40 times smaller in area, and they used another potential than ours. In another recent work,⁴ Cu (111) and Nb (110) crystal slabs were brought together in the Kurdjumov-Sachs⁵ orientation relation, and the Cu (111)

plane closest to the interface was homogeneously strained in a well-argued effort to design a plane that lowers the interface energy. Because of the very close similarity of the Nb and Ta lattice constants (0.03% difference), this work effectively functions as a verification “by design” what our earlier work on Cu (111) growth on Ta (110) has shown “by simulation.”¹ Although in both cases the Kurdjumov-Sachs orientation between the bcc and fcc crystals was found, in agreement with the experiment, the designed and strained Cu (111) interface plane had only 0.7% fewer atoms than the subsequent free Cu (111) planes, whereas the simulations yielded an interface plane containing 3.2% fewer atoms, with the lower number being due to complicated superstructures which by themselves also contain irregularities. Such examples show that simulations in some cases can yield physically realistic results that go beyond theoretical predictions. In addition, the present paper deals with Cu on Ta (100) rather than Ta (110). An approach of designing the first Cu interface plane similarly to the one just described⁴ would certainly fail, because we will show that it is the *second* Cu plane that functions as the interface plane and that this plane contains defects and superstructures that would be very hard to predict in a design effort.

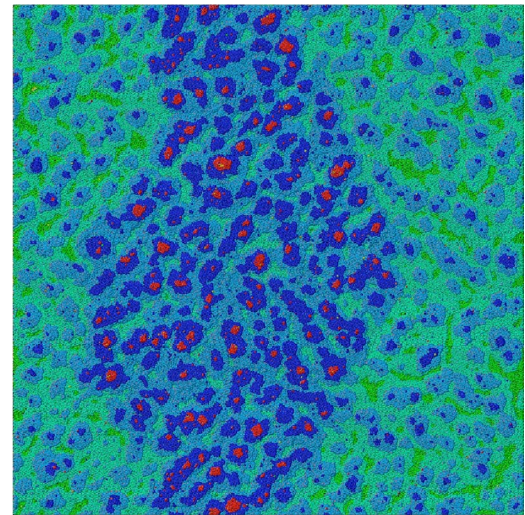
II. COMPUTATIONAL

The MD simulations were performed on four dual core computers using the parallelized version of the Delft molecular-dynamics code CAMELION and applying Johnson-Oh embedded atom method (EAM) potentials for Cu (Refs. 6 and 7) and Ta.⁸ The Cu-Cu interaction has a cutoff radius of 4.83 Å, which includes the equilibrium first-, second-, and third-nearest-neighbor distances (2.56, 3.61, and 4.43 Å). The Ta-Ta interaction has a cutoff radius of 3.99 Å, which includes the equilibrium first- and second-nearest-neighbor distances (2.86 and 3.30 Å). For the Cu-Ta cross potential we have used the unlike-atom pair potential form by Johnson,⁹ in a slightly modified form. This potential was fitted to the (positive) heat of formation of a B2 (CsCl-type) Ta-Cu alloy, 0.03 eV/atom. This value was determined according to the Miedema model,¹⁰ although more recent *ab initio* calculations show that the actual value is probably ~ 0.1 eV/atom higher. Nevertheless, in either case the interface will behave energetically as a rather inert region. Also, although the potential contains no explicit angular interaction terms, it was found that it describes the β phase of Ta, which has a rather complex structure, quite well.^{11,12} Further details of the potential are given in Ref. 13.

In the MD simulations, the Cu atoms were deposited onto a 7 ML thick 100×100 nm² bcc-Ta (100) Ta substrate, with in-plane periodic boundary conditions. The in-plane number of unit cells was $303 \times 303 = 91\,809$. On the substrate a wing-shaped single-monolayer Ta terrace was added, covering 44.7% of the surface [Fig. 1(a)]. In total the substrate contained 683 678 atoms. The volume and temperature were kept constant in the simulation. The incident deposition angle of the Cu atoms was 15° off normal, the same angle as experimentally used in Cu film growth on Ta for thermal helium desorption experiments.¹⁴ The azimuthal incident di-



(a)



(b)

FIG. 1. (Color online) (a) Schematic side and top views of the bcc-Ta (100) substrate with terrace and the first eight Cu planes. Plane numbers as used in this work are indicated. In reality the substrate contains three more Ta planes. (b) Top view of the final Cu film, after 6.3 ML deposition; atoms colored according to height. The red atoms are in plane Cu 9.

rection of each Cu atom was chosen randomly, to avoid a specific relation to the crystal structure of the substrate, as was the initial position of each atom in the top of the simulation box. The atoms arrived with a kinetic energy of 0.17 eV, a typical magnitude for electron-beam evaporated atoms. In total 1 016 035 Cu atoms were deposited. As we will see, the first deposited monolayer of Cu can be counted as 92 000 atoms and all subsequent monolayers as 174 000 atoms. Both numbers are approximate. The full deposition run has produced a 6.3-ML-thick Cu film, covering a vertical range of more than seven planes [Figs. 1(a) and 1(b)].

In order to finish the simulation in a feasible amount of time, the deposition rate in the simulation was taken as 1 Å/ns, which is nine orders of magnitude faster than in typical experimental conditions (1 Å/s). In order to permit the system nevertheless a realistic opportunity for thermal relaxation and surface diffusion in the simulations, we have adopted the elementary method of giving the film and substrate atoms a higher mean kinetic energy in the simulations than in the experiments (300 K). To compensate the deposi-

tion rate fully (at least, for as far as time and temperature can be considered exchangeable), a deposition temperature of 1000 K would be necessary. This can be seen from the simple transition-state-theory estimate $N = v_0 t \exp(-Q/kT)$ for the number of jumps N of activation energy Q that an atom will execute in time t at temperature T . Taking $v_0 = 10^{13} \text{ s}^{-1}$ and t equal to, say, half the monolayer deposition time in the experiment (1 s at 300 K), an atom will execute jumps with $Q < 0.78 \text{ eV}$ at last once in the experiment ($N_{\text{exp}} > 1$). In the simulations, where $t = 1 \text{ ns}$, one would need $T = 1000 \text{ K}$ to reach the same situation ($N_{\text{sim}} > 1$). Note, however, that such a 1000 K simulation would still reproduce a 300 K experiment with a systematic distortion of the kinetics. For example, the ratio $N_{\text{sim}}/N_{\text{exp}}$ is less than 1 for all Q smaller than 0.78 eV and larger than 1 for all Q bigger than 0.78 eV, which means that the relative rates of microscopic events essential for relaxation will very likely be affected. More importantly, the simulation temperature should not be too close to the melting point of the simulated materials, or else undercooled liquids (glasses) may form. Since Cu has a melting temperature of 1356 K (1365 K with the Oh-Johnson potential used), such problems have indeed been observed in trial deposition simulations at 1000 K. As it was found that all dubious high-temperature effects had disappeared from the simulations at 750 K, it was decided to run the full simulations with the film-substrate system thermostat set at 750 K. The exact effects of the limited Cu mobility compared to a 1000 K simulation are hard to estimate since on a growing surface many different activation energies are in operation. Yet, in order to give an impression of the kinetic downscaling from 1000 to 750 K, we mention that in a simulation of Cu deposition onto Cu at 1000 K, the Cu atoms move up to $\sim 8 \text{ nm}$ away from the positions where they are adsorbed. At 750 K, this distance is only $\sim 3 \text{ nm}$.

Finally, carrying out the simulation at 750 K instead of 300 K with unmodified potentials would create unphysical thermal stresses between Ta and Cu since the thermal expansion coefficient of fcc Cu ($16.5 \times 10^{-6}/\text{K}$) is 2.6 times larger than that of bcc Ta ($6.3 \times 10^{-6}/\text{K}$). Therefore, the Cu-Cu potential was slightly adapted to make the equilibrium lattice spacing at 750 K equal to the experimental value at 300 K. We did not change the Ta potential because of its substantially lower expansion coefficient.

III. LOCAL SYMMETRY TYPE

In order to attribute a local crystal symmetry to every individual atom in the system, we have made use of a particular quantification of the angular environment of each atom i . This was inspired by work of Steinhart *et al.*¹⁵ First, six numbers $Q_i^{(2\lambda)}$, with $\lambda = 1, 2, \dots, 6$, are calculated for atom i ,

$$Q_i^{(2\lambda)} = \frac{1}{Z_i} \sqrt{\sum_k \sum_j^{Z_i} P^{(2\lambda)}(\cos \theta_{kij})}, \quad \lambda = 1, 2, \dots, 6, \quad (1)$$

where $P^{(2\lambda)}$ is the Legendre polynomial of degree 2λ , θ_{kij} is the triplet angle subtended at atom i formed by the vectors from i to its neighboring atoms k and j , and Z_i is the coordination

TABLE I. Numbers $Q^{(2\lambda)}$ for an atom in different angular environments: fcc, hcp, bcc, diamond, and icosahedral. Z is the coordination number of the atom. In colored figures, the local symmetry types are colored as indicated; an unclassified symmetry type appears as magenta.

2λ	$Q^{(2\lambda)}$				
	fcc	hcp	bcc	Diamond	Icosohedral
2	0	0	0	0	0
4	0.191	0.097	0.036	0.509	0
6	0.575	0.485	0.511	0.629	0.663
8	0.404	0.317	0.429	0.213	0
10	0.013	0.010	0.195	0.650	0.363
12	0.600	0.565	0.405	0.415	0.585
Z	12	12	14	4	12
Color	Green	Orange	Blue	Yellow	Cyan

number of i . Note that the lengths of the vectors play no role other than selecting or excluding the atoms k and j as nearest neighbors. The maximum nearest-neighbor distances were chosen as 3.27 Å for Cu-Cu, 3.46 Å for Cu-Ta, and 3.66 Å for Ta-Ta. For atoms in perfect fcc, hcp, bcc, diamond cubic, and icosahedral environments, the values of $Q^{(2\lambda)}$ are shown in Table I. These five structures are the candidate structures used in this work. To every atom i in the system under study one of these is assigned as the local symmetry type, provided that the actual environment of the atom and the environment in the assigned candidate structure are not too different. For atom i we therefore calculate the rms differences of the first six even orders $Q_i^{(2\lambda)}$ with the corresponding $Q_{\text{struc}}^{(2\lambda)}$ of each of these candidate structures,

$$\Delta S_{i,\text{struc}} = \sqrt{\frac{1}{6} \sum_{\lambda=1}^6 (Q_i^{(2\lambda)} - Q_{\text{struc}}^{(2\lambda)})^2}. \quad (2)$$

The structure for which ΔS has the smallest value is attributed to atom i as its local symmetry type, provided that this ΔS is smaller than 0.11. This critical bound was determined by numerical experimentation. If ΔS is greater, the local crystal symmetry of i is recorded as ‘‘unclassified.’’ In this way we can divide up the full system into fcc, hcp, bcc regions, etc., which after visualization are useful for detecting different crystal defects, as we will see. Note that local symmetry type is an atomic property derived from the neighbors of the atom in three dimensions, not just from the neighbors that lie in the same plane of deposition. In this work we will show that the local symmetry type is a very useful tool for clarifying the microstructure of the film, although the three-dimensional (3D) character of the local symmetry type calls for cautious interpretation of in-plane domains in some cases.

IV. RESULTS: THE Cu FILM AFTER DEPOSITION

Before presenting the results of the deposition simulations, we note that all results in this work are shown for 0 K

TABLE II. Data of the atomic planes after film deposition, top to bottom (see also Figs. 1 and 2). N_{Ta} , N_{Cu} , and N_{tot} are the actual numbers of atoms in the plane; n_{Cu} is the hypothetical number of Cu atoms in the plane if the areas outside the terrace area would be representative for the whole plane.

Plane	N_{Ta}	N_{Cu}	N_{tot}	rms thickness of plane (Å)	Distance to next lower plane (Å)	n_{Cu}
Cu 10	0	60	60	0.41	1.97	~0
Cu 9	0	3194	3194	0.13	1.95	~0
Cu 8	0	34879	34879	0.17	1.90	7878
Cu 7	0	107387	107387	0.21	1.93	68328
Cu 6	0	163842	163842	0.21	2.00	155774
Cu 5	1	173678	173679	0.21	2.01	173837
Cu 4	7	173838	173845	0.20	2.03	173483
Cu 3	23	172725	172748	0.21	1.91	174293
Cu 2	37	135499	135536	0.10	0.94	170834
Ta terrace	40977	31	41008	0.07	0.81	
Cu 1	2	50816	50818	0.08	0.91	91809
Ta 0	91777	32	91809	0.09	1.72	
Ta -1	91809	0	91809	0.06	1.64	
Ta -2	91809	0	91809	0.05	1.66	
Ta -3	91809	0	91809	0.04	1.66	
Ta -4	91809	0	91809	0.04	1.65	
Ta -5	91809	0	91809	0.03	1.60	
Ta -6	91809	0	91809	0.02		

configurations, i.e., for configurations copied from the ongoing run and subsequently rapidly quenched to 0 K. This was done to suppress thermal noise, which especially affects the determination of the local crystal symmetry of the atoms.

Table II and Fig. 2 summarize the Cu-Ta system after the deposition of the film. In Figs. 2(c)–2(f) the four most interesting Cu planes, Cu 2–5, are shown with the atoms colored according to local symmetry type. In Table II, N_{Ta} , N_{Cu} , and N_{tot} are the observed number of atoms in the plane and n_{Cu} is the hypothetical number of Cu atoms in the plane if the area outside the terrace area would be representative for the whole plane. We will call the area outside the terrace area the *outside area* of a plane. These numbers n_{Cu} reflect, better than the observed numbers N_{Cu} , the filling factor of the plane (i.e., the outside region) and therefore the defect content of the plane. Plane Cu 1 is fully epitaxial on the bcc-Ta (100) plane Ta 0. This follows not only from a visual inspection but also from the fact that n_{Cu} in plane Cu 1 is equal to N_{Ta} in plane Ta 0. The planes Cu 2–Cu 5 all have numbers n_{Cu} in the range 1.70×10^5 – 1.74×10^5 . To put these numbers in perspective, we note that in stress- and defect-free conditions fcc-Cu (111) planes of this size contain 1.77×10^5 atoms. This is a clear indication that plane Cu 2 (outside area) has the most defects, followed by planes Cu 3–5, which have smaller packing deficits. Below we will discuss the defects that are observed in these planes. The rapid decrease in n_{Cu} for planes Cu 6–9 has another reason: these planes were not yet fully covered when the deposition stopped. There is more information in Table II. First we note that in stress-free equilibrium conditions, fcc-Cu (111) planes are separated by a

distance of 2.08 Å and bcc-Ta (100) planes by 1.65 Å. Comparison with Table II shows that the surface relaxation in the Cu film has a considerable range, much more than in the Ta substrate. The first Cu layer has a distance of only 0.92 ± 0.01 Å to the underlying Ta plane. This is the fully epitaxial Cu layer on bcc-Ta (100). This shows that the initial Cu layer penetrates far into the Ta plane. The Cu-Ta atomic neighbor distance between these two planes is 2.51 Å, which is surprisingly small. Finally, the rms thickness of each plane is listed in Table II for completeness. Note that this thickness does not contain a contribution from thermal atomic vibrations because these are absent. Therefore, the value of 0.02 Å for the bottom Ta plane indicates a small curvature, possibly due to stresses.

A. Plane by plane description

In this section we describe the local crystallographic symmetries, the microstructures, and the defects observed in the atomic planes of the deposited Cu film. Figure 2 will serve as the main illustration for reference. On the scale of Fig. 2, individual atoms are not visible. In later sections we will therefore discuss some of the issues in more detail, showing atomic-scale patterns and arrangements, which justify the conclusions on the microstructure presented in this summary. For brevity we mention here only the properties of the film area *outside* the terrace region in each plane. For the film area inside the terrace region of a plane one should refer to the underlying plane, i.e., the plane with the next lower index; this can also be seen in Fig. 2.

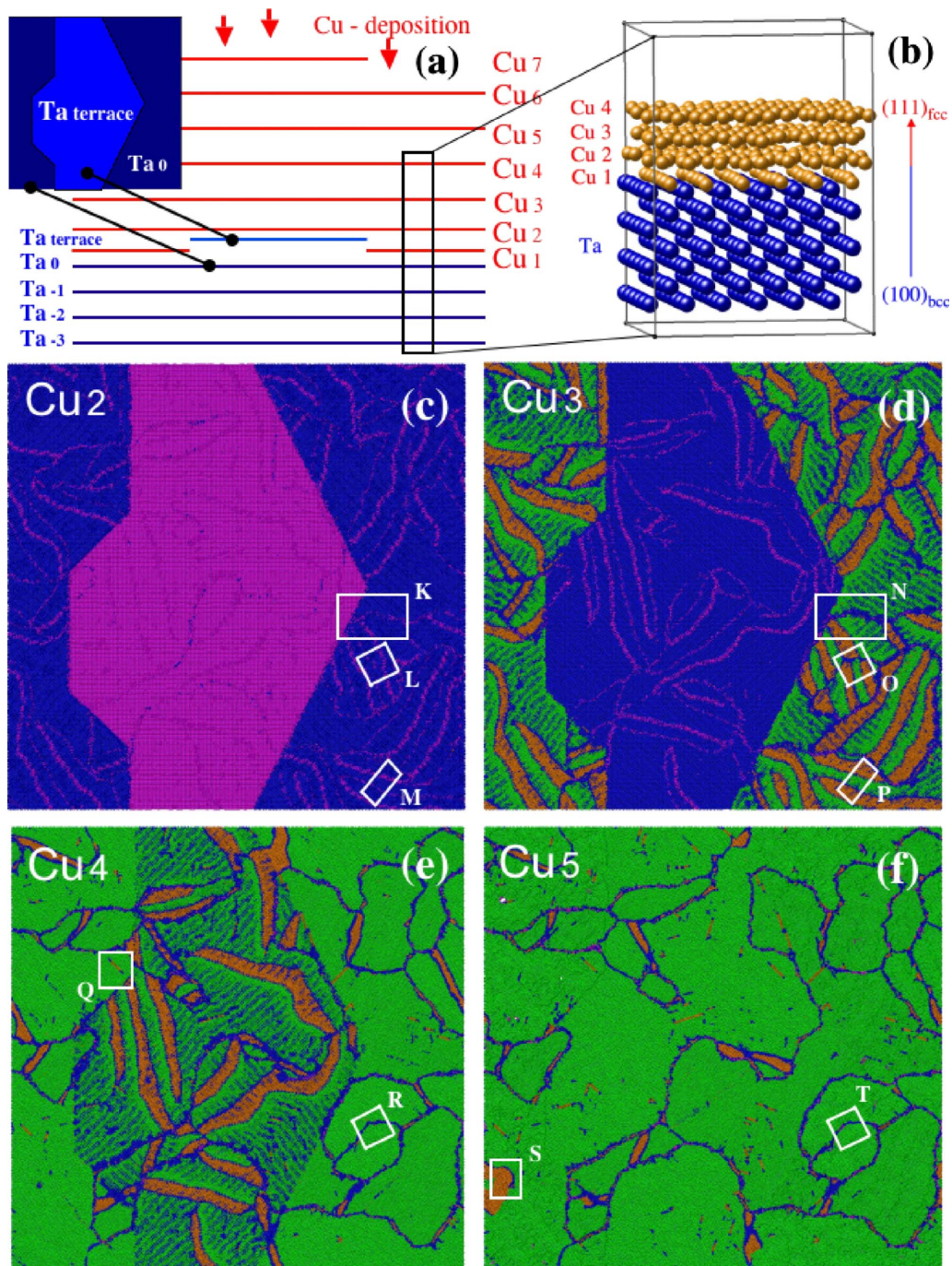


FIG. 2. (Color online) (a) Schematic side and top views as in Fig. 1(a). (b) Small section of the Cu-Ta system viewed at atomic scale (blue=Ta, orange=Cu). Note how deep the epitaxial plane Cu 1 has sunk into the top Ta layer. (c)–(f) Top views of the planes Cu 2–5 after deposition. The atoms are colored according to local symmetry type (green=fcc, blue=bcc, orange=hcp, magenta=unclassified). Boxes denote regions discussed in the main text.

Plane Cu 1. Being fully epitaxial on bcc-Ta (100), plane Cu 1 contains no defects. As mentioned above, it sinks deep into the top Ta layer. Because of this, and because the Cu 2 layer above it is not a bcc (100) plane, the first deposited Cu layer is not recognized by the local symmetry algorithm as having bcc symmetry type but as being unclassified. This can be seen in the terrace area in Fig. 2(c), which is the part of plane Cu 2 that is in fact the first deposited Cu plane.

Plane Cu 2. In Fig. 2(c), plane Cu 2 shows up as blue, but

it has no true 3D bcc symmetry. As we will show later, this plane has a misfit superstructure with a $(7\sqrt{2} \times \sqrt{2})R45^\circ$ supercell and is in a sense intermediate between bcc (100) and fcc (111) planes. The magenta curved lines in Cu 2 are GBs or rather the beginning of GBs which stretch out upward. There are two types of GBs:

(1) GBs between areas of different in-plane orientations (two such orientations occur, which will be designated as I and II) and

(2) GBs between areas of identical in-plane orientation but shifted by in-plane vectors of the type $(1/6)\langle 211 \rangle$, i.e., areas with three possible “anchor positions” A–C, as they are usually indicated in stacking sequences of close-packed planes. This terminology is used as if this plane is a genuine fcc (111) plane.

Also the relatively low number of atoms in this plane ($n_{\text{Cu}}=170\,834$) compared to the next higher planes (Table II) shows that there is a packing deficit in this plane.

Plane Cu 3. Cu 3 is the first fcc (111) plane but one with many defects visible in Fig. 2(d). Since from this plane upward all planes and their neighboring planes above and below are essentially fcc (111) planes, green is the main color for these planes. GBs show up mainly as blue. At this point it should be illustrated why the local symmetry type should be interpreted with caution, and why the true defect content of plane Cu 3 is much less than Fig. 2(d) suggests. The reason is as follows. An orange area (hcp) denotes a stacking fault plane, one for which the next lower and higher planes have the same anchor positions (such as, for example, in the vertical stacking sequence A,B,A in planes 2,3,4) rather than different anchor positions (such as A,B,C in planes 2,3,4). In the first of these sequences the B plane shows up as hcp (orange); in the second the B plane shows up as fcc (green). Therefore, neighboring orange and green regions in the same plane do not necessarily have different anchor positions. Instead, the different colors may be the result of different anchor positions in the *next* lower or upper plane. The A,B,A, and A,B,C examples just given illustrate this: the B region may show up as orange or as green. The “boundary” between these two B regions is then artificial (nonexistent). The true GB is one plane up or down. Note that a *pair* of orange planes, at least if they are adjacent planes, denotes an intrinsic stacking fault, and these have indeed been found (see plane Cu 4). Another notable phenomenon is the blue stripes in plane Cu 3. We will show that they are associated with the presence of misfit supercells in the underlying plane Cu 2. Stripes run in the direction normal to the orientation (I or II) of the grain in plane Cu 2. Later we will show that the occurrence of these stripes and the fact that the orange areas all have approximately the same width are intimately connected with misfit supercells formed in plane Cu 2.

Plane Cu 4. As seen in Fig. 2(e), plane Cu 4 is also an imperfect fcc (111) plane, but less imperfect than the underlying plane. There are no more stripes, which indicates that the supercell modulations in plane Cu 2 do not propagate upward upon growth. GBs are still present in two modifications: between different orientations (I and II) and between different anchor positions (A–C). An example of the second one is the boundary between the two regions in box R. Close inspection shows that the regions have the same orientation, but that—although they both are green—they are $(1/6)\langle 211 \rangle$ vector shifted. The blue boundaries in the plane below, in box O in Fig. 2(d), are actually artificial according to the explanation given for plane Cu 3. To fully understand the nature of the boundary in box R, one should turn to Table III and follow the vertically stacked regions in the boxes L, O, R, and T, which appear in Figs. 2(c)–2(f), respectively. The orange lines bounded by two blue dots, such as seen in, e.g., box Q in Fig. 2(e), are intrinsic stacking faults, bounded by

two Shockley partial dislocations, of which the stacking fault strip runs approximately vertically.

Plane Cu 5. This is the first plane that looks homogeneous, in the sense that the terrace and outside areas look very similar [see Fig. 2(f)]. There are just a few “imprints” of the original step edge visible in this plane, but they are not very obvious. We conclude that the influence of the Ta terrace on the film microstructure is minimal. As the current step edges run in three distinct low-index directions, it is not expected that higher-index directions—being in a sense interpolations of the low-index directions—would suddenly have a pronounced influence. The GBs run vertically. Compared to plane 4, more Shockley partials are visible here. Some 65 intrinsic stacking faults were identified in the whole system, eight of them very near the original step-edge locations on the Ta substrate and the rest distributed within the grains. Also one growth stacking fault is observed [box S in Fig. 2(f)]. This stacking fault is the usual one, in the sense that the Ta lattice did not influence its formation and shape. Note that it is not a “long orange patch” like those seen in plane Cu 3.

Plane Cu 6 and higher. Upward from plane 5, the planes are no longer fully occupied [see also Fig. 1(b)]. The microstructure of the underlying planes is continued, however. As the coverage decreases, i.e., in increasingly higher planes, the formation of islands is clear. To give a numerical illustration: planes 7 (outside) and 8 (terrace area) are approximately 40% occupied and consist of irregular islands with a mean size of 60 Å.

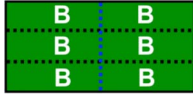
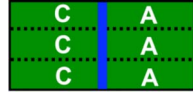
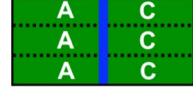

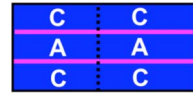
Before moving on to the atomic-scale description of the defects, we should return once more to plane Cu 4 [Fig. 2(e)] and compare the microstructure of its outside area with that shown in Fig. 3. Also in Fig. 3 a Cu 4 plane is depicted, but here the result was taken from the simulation on the much smaller system mentioned earlier ($18 \times 18 \text{ nm}^2$).¹ In the smaller system several intrinsic stacking faults are visible but not any of the other phenomena seen in Fig. 2(e), like growth stacking faults or grain boundaries. This comparison clearly shows how simulations on too small systems may hide essential features of the physics under study. It is one of the key motivations of presenting these results.

B. Atomic-scale descriptions

In this section we illustrate in atomic detail some of the features that were observed in the film: grain orientations and grain boundaries, misfit supercells, and the origin of the narrow orange strips in plane Cu 3 [Fig. 2(d)]. As far as point defects are concerned, almost no vacancies were found: only several tens in a system of over a million deposited atoms.

Grain orientations and grain boundaries. Figure 4 shows an atomic view of box K in Fig. 2(c) and is therefore a close up of plane Cu 2. Figure 4 summarizes the different Cu grains that are found in the film. The coloring of the atoms is by local symmetry type, just as in Fig. 2(c). On the left of Fig. 4 is the bcc (100) part of this Cu plane, which is epitaxial on the Ta terrace just below it. Across the GB indicated by the blue dashed line, on the right of it, one sees the typical atomic arrangement of the outside area of plane Cu 2. As

TABLE III. (Color online) Schematic illustration of boundaries between grains having the same in-plane orientation but having different anchor positions A, B, or C. Areas inside the rectangles below represent the areas of boxes L, O, R, and T in Fig. 2, elongated and rotated counterclockwise by $\sim 60^\circ$. Solid lines represent grain boundaries (possibly artificial ones); at the position of dashed lines there may be boundaries in other planes. As an example of how the color of a grain is determined, we look at box O. All grains in box O have anchor point B. If similar areas in boxes R, O, and L have three different anchor point positions, then the crystal structure of that area in box O will be determined as fcc (green). If the positions in boxes R and L are the same for part of the area of the box, then that part will be determined to have hcp structure (yellow). Once the colors of all parts of the boxes are known, the nature of the boundaries can be determined. The text next to each box explains the type of boundaries found in each box.

Plane	Selected area in Figs. 2(c)–2(f) schematically shown	Explanation
Cu 6		Boundaries are no longer present. The whole area is B, just as the area below in box O. To maintain the fcc structure a split of the regions is no longer required. Plane Cu 6 is not shown in Fig. 2.
Cu 5	Box T Fig. 2(f) 	The blue line is the boundary between different anchor position areas C and A. The split of the regions is necessary to maintain the fcc structure within both of them.
Cu 4	Box R Fig. 2(e) 	The blue line is the boundary between different anchor position areas A and C. Formed freely, no influence of the Ta substrate.
Cu 3	Box O Fig. 2(d) 	All blue boundaries are artificial, indicating region shifts from A to C in plane Cu 2 (horizontal lines) and in plane Cu 4 (vertical line). The whole area is close-packed B (111) fcc or hcp.
Cu 2	Box L Fig. 2(c) 	The magenta lines are GBs between different anchor position areas A and C. Formed under the influence of the Ta bcc substrate below (see the main text for an explanation).

said earlier, it is intermediate between bcc (100) and fcc (111) planes, and we will describe it in the terminology of an fcc (111) plane. The two groups of three parallel lines shown in Fig. 4 run in the two different close-packed fcc $\langle 110 \rangle$ directions that are found throughout the copper film; the associated atomic hexagons are also shown in the figure. These two fcc $\langle 110 \rangle$ directions make angles of $+45^\circ$ or -45° with the bcc $[100]$ direction of the Ta substrate, and they are designated as orientations I and II, respectively. In the figure, four grains are visible: two having anchor position A with different grain orientations (I and II) and two having anchor position C with the same grain orientation (II). The A_{II} and C_{II} grains have the same grain orientation but they are translated by $(1/6)\langle 211 \rangle$ vectors. For A-B and B-C grain combinations the same translation vectors apply. The red dashed lines in the figure indicate grain boundaries of various types: boundaries between orientation differences (A_I and A_{II}), between anchor position differences (A_{II} and C_{II}), and between both of these (A_I and C_{II}).

It is seen that in this, plane Cu 2, the local symmetry of many atoms in these GBs is colored magenta. Following these types of GBs through Figs. 2(c)–2(f) one notices that

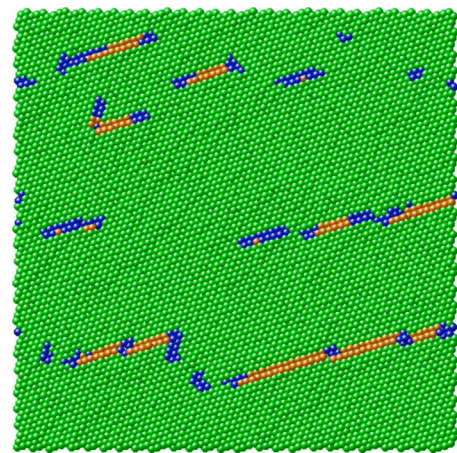


FIG. 3. (Color online) Plane Cu 4 from a Cu deposition on an $18 \times 18 \text{ nm}^2$ flat bcc-Ta (100) substrate (Ref. 1). Note the differences with the outside area of plane Cu 4 of Fig. 2(e), which was produced under the same deposition conditions but on a $100 \times 100 \text{ nm}^2$ substrate. Clearly the boundary conditions of the small substrate have suppressed defects such as grain boundaries.

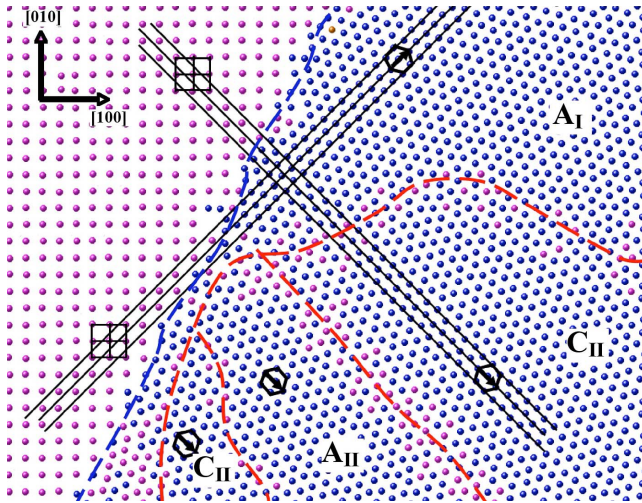


FIG. 4. (Color online) Atomic-level view of box K in Fig. 2(c). The atoms are all in plane Cu 2 and are colored by local symmetry type. On the left is the bcc (100) part of this Cu plane, which is epitaxial on the Ta terrace just below it. Across the blue dashed grain boundary, on the right of it, is the typical atomic arrangement of an fcc (111) plane, the two groups of three parallel lines run in the two different close-packed $\langle 110 \rangle$ directions; the associated atomic hexagons are also shown. These two fcc $\langle 110 \rangle$ directions make angles of $+45^\circ$ or -45° with the bcc [100] direction of the Ta substrate, and they are designated as orientations I and II, respectively. In the figure, four grains are visible: two having anchor position A and two having anchor position C. The A_{II} and C_I grains are translated by $(1/6)\langle 211 \rangle$ vectors, and so are—although not shown here— A_I and C_I grains. For A-B and B-C grain combinations the same translation vectors apply. The red dashed lines in the figure indicate grain boundaries of various types: boundaries between orientation differences (A_I and A_{II}), between anchor position differences (A_{II} and C_{II}), and between both of these (A_I and C_{II}).

many of the GBs between grains with different orientations run upward (and show up as blue in planes Cu 3 and higher). These are 30° [111] fcc tilt grain boundaries. However, as explained earlier, the GBs between grains with different anchor points in plane Cu 2 give rise to orange patches in plane Cu 3; see, for example, box N in Fig. 2(d) and compare with Fig. 4 [magnified box K in Fig. 2(c)]. Above plane 3, Figs. 2(e) and 2(f) show that these GBs between grains with different anchor points have largely disappeared. However, they are still present, and Table III explains their propagation. Only in plane 3 these GBs are completely absent. The orange patches and their blue boundaries say nothing about the anchor positions of the grains in plane Cu 3 but only reflect the difference in anchor positions of the underlying grains in plane Cu 2. The only real boundaries in plane Cu 3 are those between grains of different orientations. In addition to the orange patches, plane Cu 3 exhibits one more special feature: blue stripes [see Fig. 2(d)]. We will discuss the orange patches and the blue stripes next, because they are both related to the misfit supercells in plane Cu 2.

Misfit supercells. One of the most interesting results of the local symmetry analysis is the observation of blue stripes in the third deposited Cu layer. They are not tiny strips of bcc

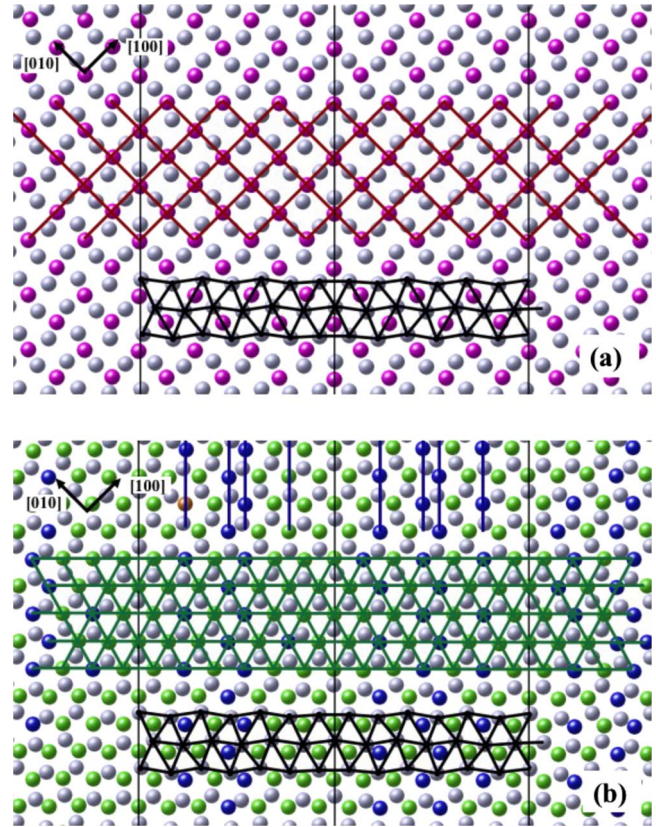


FIG. 5. (Color online) Illustration of the 26-atom misfit supercell in plane Cu 2 (gray atoms), shown connected by black triangles. The area shown is a close-up of the C_{II} grain in Fig. 4, but rotated over 45° . In (a), plane Cu 2 is shown together with the underlying plane Cu 1 [magenta atoms, connected by bcc (001) squares]. In (b), plane Cu 2 is shown together with the plane above it, Cu 3 [green and blue atoms, connected by fcc (111) triangles]. The blue lines are shown to emphasize the atoms in the “blue stripes.” Colors, except gray, represent local symmetry.

phase, as the color would suggest, but they are the result of the misfit supercells formed in the second deposited Cu layer, which happen to generate arrays of blue atoms in the symmetry analysis of the next higher layer. This could be concluded from an atomic-level analysis of the Cu 2 plane. Figure 5 shows in detail [magnified box K in Fig. 2(c)] how plane Cu 2 is intermediate between plane Cu 1, a bcc (100) plane with the Ta lattice constant, and plane Cu 3 [magnified box N in Fig. 2(d)], an fcc (111) plane with the Cu lattice constant. The figure displays part of grain C_{II} shown in Fig. 4. Figure 5(a) shows the atoms in plane Cu 2 (gray) together with the atoms in the next lower plane Cu 1 (magenta), while Fig. 5(b) shows the atoms in plane Cu 2 (gray) together with the atoms in the next higher plane Cu 3 (green and blue). It is interesting to see how this intermediate plane Cu 2 is built up. In both Figs. 5(a) and 5(b) the lower strip of atoms connected by black triangles is the misfit supercell in plane Cu 2. The supercell is a compromise between the rectangular atomic pattern in the plane below and the perfect triangular pattern of the plane above. In terms of the underlying bcc (100) Cu 1 layer, it is a $(7\sqrt{2} \times \sqrt{2})R45^\circ$ supercell, containing 26 Cu atoms. Figure 5(a) shows that in the $[1\bar{1}0]$ (hori-

zontal) direction, 13 Cu-Cu nearest-neighbor bonds match the combined length of seven diagonals of the underlying Cu squares or, equivalently, the combined length of $7\sqrt{2}$ Ta lattice constants. As 13 unstrained Cu-Cu bonds would measure $13 \times 2.555 \text{ \AA} = 33.22 \text{ \AA}$ for the potential used, and $7\sqrt{2}$ Ta lattice constants add up to $7\sqrt{2} \times 3.303 \text{ \AA} = 32.70 \text{ \AA}$, the supercell is under a 1.6% horizontal compressive strain with respect to the underlying plane, and this strain is accommodated by the slight zigzag in the horizontal lines connecting the Cu atoms in Fig. 5. In the $[110]$ (vertical) direction, two Cu triangle heights, or equivalently $\sqrt{3}$ Cu-Cu nearest-neighbor bonds, match one diagonal of the underlying Cu squares or, equivalently, of $\sqrt{2}$ Ta lattice constants. In this direction the size ratio is therefore $[2 \times (\sqrt{3}/2) \times 2.555] / (\sqrt{2} \times 3.303) = 0.947$, and hence the supercell is under 5.3% vertical tensile strain with respect to the underlying plane. Figure 5(b) shows why the supercell in plane Cu 2 assumes this particular $(7\sqrt{2} \times \sqrt{2})R45^\circ$ shape. At the cost of relatively small strains with respect to plane Cu 1, which can be well accommodated by a zigzag distortion, at least in the horizontal direction, the fit of plane Cu 2 with the fcc (111) Cu triangles in plane Cu 3 above it is in fact very good. Apparently then, this is how the Cu plane manages to interpolate between two different Cu planes. We will come back to the vertical direction shortly.

If such supercells would fit fully periodically in the present simulation volume (they do not), and if the plane consisting of these supercells would contain no defects, the plane would contain 170 502 atoms. Table II shows that the actual value of n_{Cu} is 170 834 for plane Cu 2. The fact that these two occupancy numbers are in excellent agreement is less trivial than it seems because it is due to a superposition of several influences. First, the value of n_{Cu} was derived from a scaling relation that includes some uncertainty. Also, plane Cu 2 does contain defects, notably grain boundaries as we have seen, and therefore a smaller occupation number than 170 502 should be expected. But, on the other hand, we will show that relaxation of the supercell structure has taken place, which has opened up more positions for atoms to occupy. Together then, these three effects happen to have caused an almost exact match of the two occupation numbers. The blue stripes in plane Cu 3 are the blue atoms in Fig. 5(b), some of which are shown connected by blue lines in the top of the figure. It can be seen that they form a regular pattern (although not perfectly everywhere) perpendicular to, and in phase with, the unit cells of the supercell.

There is another observation to make. In the vertical direction, a stack of six supercells (12 Cu triangles), each $\sqrt{2} \times 3.303 \text{ \AA}$ high in order to fit the underlying plane, measures 28.03 \AA . However, the total height of 12 undeformed fcc (111) Cu triangles, as they are present in the plane above, is $12 \times (\sqrt{3}/2) \times 2.555 \text{ \AA} = 26.55 \text{ \AA}$. The strain energy of the six supercells with respect to the plane above is therefore considerable. Interestingly, the total height of $12 + 2/3$ undeformed fcc (111) Cu triangles is also 28.03 \AA . It is very well possible, therefore, that the presence of the plane above, once it is rigid enough by its firm connection to the next higher planes, makes it energetically favorable for the vertical stack of supercells in plane Cu 2 to break into pieces. The reason is the following. If each of these pieces would be six supercells

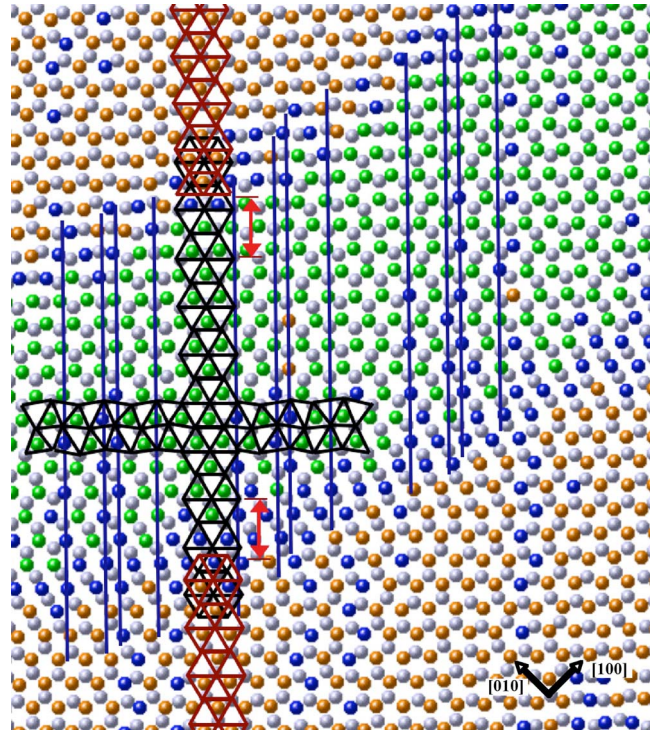


FIG. 6. (Color online) Misfit supercell, as in Fig. 5(b), only here the atomic-level view of boxes M (gray atoms) and P (green, blue, and orange atoms) in Figs. 2(c) and 2(d) is given. The central part of the supercell is shown, repeated in the vertical direction (black triangles connecting gray atoms). The red triangles also connect gray atoms, analogous to the black triangles but shifted in the vertical direction by $(2/3)$ triangle height. This shift is due to an energetically favorable relaxation (see the main text for a discussion). Red arrows indicate the first gray atoms out of registry, near the (blue) edges of the green area. Six perfect (111) fcc hexagons fit within the green area in the vertical direction.

(12 Cu triangles) high, it could contract from 28.03 to 26.55 \AA in order to fit the plane above perfectly, while leaving the Cu atom that starts the next piece also in its perfect fitting position, only *this* perfect fitting position is shifted with respect to those of the previous piece by the $2/3$ fcc (111) Cu triangle height mentioned, or which is the same, by a $(1/6)\langle 211 \rangle$ vector. In other words the energetic profit that plane Cu 2 can attain to fit plane Cu 3, once plane Cu 3 is present and rigid enough, stimulates plane Cu 2 to break up into pieces that are mutually shifted by $(1/6)\langle 211 \rangle$ vectors, or—in our earlier terminology—into pieces that have different anchor positions. Figure 6 [enlarged area of boxes M and P in Figs. 2(c) and 2(d)] confirms that this is indeed what has happened. In reality the actual number of supercells in the vertical direction is not always precisely equal to the ideal value of 6. Nevertheless, deviations from this number are never large. This can be concluded from the fact that the widths of most orange strips in Fig. 2(d) are close to 30 \AA . In the next section we will show that this breaking up of plane Cu 2, or “relaxation” of plane Cu 2 as we have called it earlier, indeed had to wait longer than the deposition of just plane Cu 3.

The fitting together of two very different crystal structures through a single distorted atomic monolayer represents a

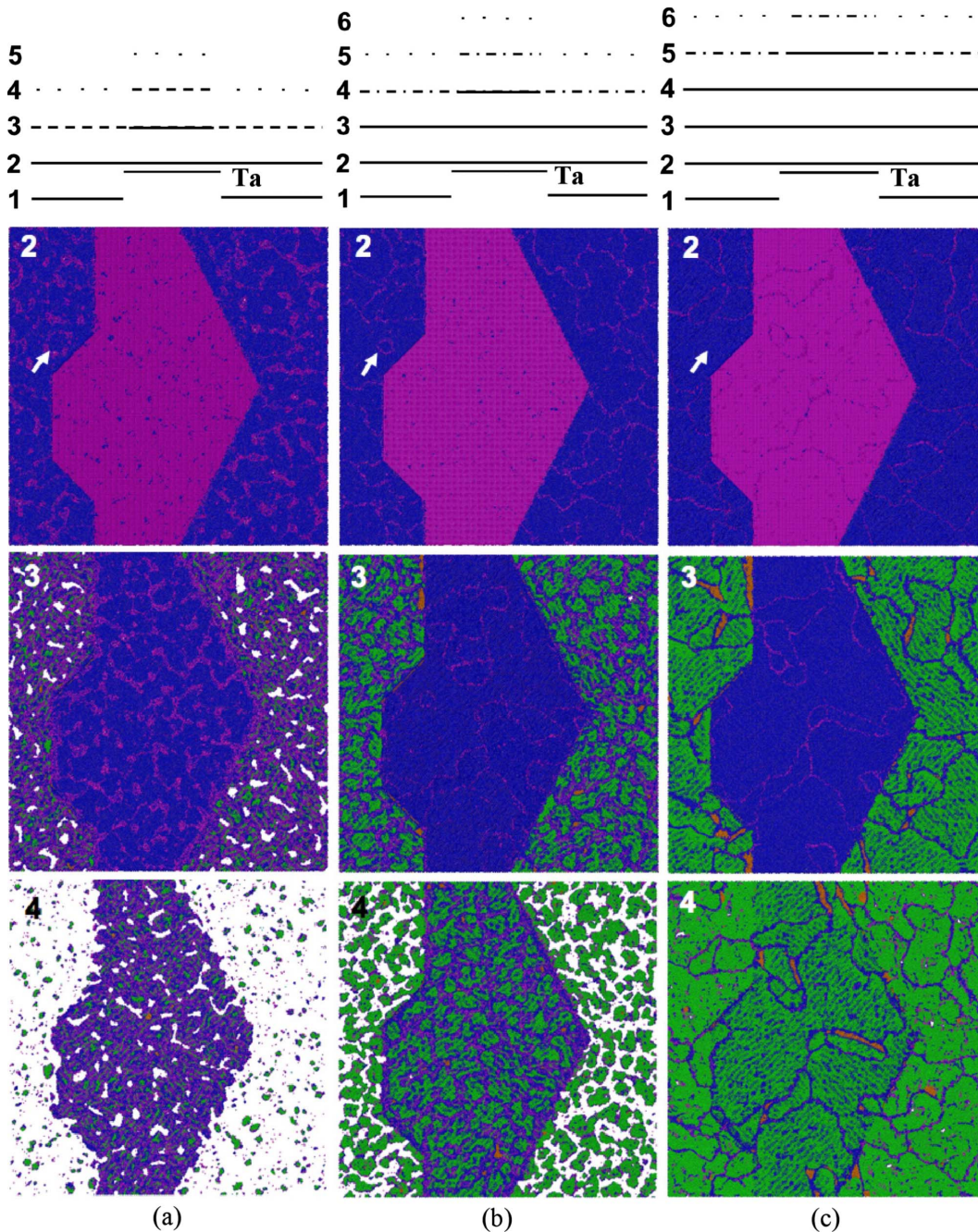


FIG. 7. (Color online) Successive stages of planes Cu 2–4 during deposition, (a) after 432 091 Cu atoms deposited or 2.96 ML, (b) after 541 967 Cu atoms deposited or 3.59 ML, (c) after 709 866 Cu deposited or 4.56 ML. Atoms are colored according to local symmetry. Plane occupancy is shown schematically at the top of the figure. The white arrows in plane Cu 2 indicate a grain that is consumed by its surrounding grain having a different orientation. For reference we mention that the last stage of the deposition, after 6.31 ML, is shown in Fig. 2.

mechanism for enabling epitaxy that is very different from the textbook mechanism of misfit dislocations. This was also noted in recent similar studies,^{1,4} but in the present case the distortions and defect patterns in the critical monolayer are especially complex and revealing. This, in turn, is made possible by the free atom-by-atom growth of the atomic planes, which allows the system much greater freedom to organize itself than the joining of solid crystal slabs, a procedure with pronounced geometrical restrictions on distortions and defects.^{3,4}

V. CHANGES IN THE FILM DURING DEPOSITION

Figure 7 gives an impression of the planes Cu 2–4 in three successive stages of deposition [the fourth and final stages were already depicted in Figs. 2(c)–2(f)]. The numbers of Cu monolayers deposited are, respectively, 2.96, 3.59, and 4.56, and the progression of the plane occupancies is shown schematically at the top of Fig. 7. Close inspection of these results shows that in plane Cu 2, a small grain with orientation I is consumed by the surrounding grain which has orientation

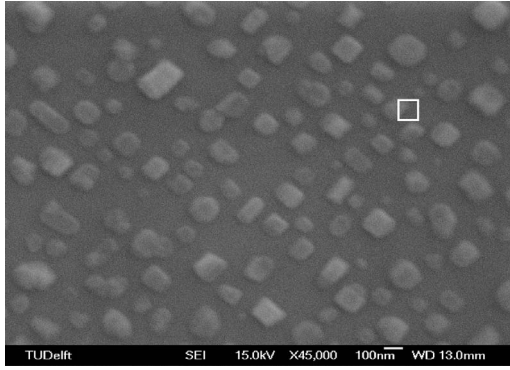


FIG. 8. Secondary electron image of a 50 Å Cu film deposited on Ta (100) and annealed at 995 K for 10 s (Ref. 12). The white square has the same size as the system used in the current simulations ($100 \times 100 \text{ nm}^2$).

II (white arrow). Also, as one follows the successive stages of plane Cu 2 all the way to Fig. 2(c), one notices how the grain boundaries become increasingly sharper defined. One should combine this information with the changes in plane Cu 3, all the way to Fig. 2(d), where it is seen that the orange patches appear only in the last stage. Together this shows that the breaking up of plane Cu 2 in regions with different anchor points, as was discussed in the previous section, is completed not before approximately 6 ML deposition. Finally, also plane Cu 4 in Fig. 7 is far from its final state. All this is clear evidence of the changes that occur in deposited atomic planes while the arrival of new atoms at the surface takes place several planes higher in the film.

VI. ANNEALING

Experimentally¹⁴ it is known that Cu dewets bcc-Ta (100) and (110) surfaces at elevated temperatures. To be more specific, Fig. 8 shows how a 50-Å-thick copper film, deposited on a bcc-Ta (100) surface by electron gun evaporation, has dewetted the substrate after a 10 s anneal at 995 K. The film breaks up and forms rectangular 3D islands, aligned along the crystallographic directions of the substrate. It could be concluded from other experiments that the process of island formation takes place from approximately 400 to 700 K when the Cu-Ta system is subjected to a heating ramp of 40 K/s. The areas between the islands are not the bare, exposed Ta substrate but a Cu film of 1 or 2 ML thickness remaining on top of the substrate. Hence, the experiments showed that the dewetting is actually a Cu-on-Cu phenomenon, where of course the remaining Cu film is strained because of the underlying Ta substrate.

In order to investigate whether the Cu film created in the current simulations would also dewet the Ta substrate at elevated temperatures, the system was annealed after deposition of the film. For this purpose the unmodified Cu potentials were used, and not the Cu potentials modified for deposition as described earlier (Sec. II). In this way, truly elevated temperatures were simulated. It was not expected that a full island formation as shown in Fig. 8 would be found in the simulations. For this, the feasible simulation

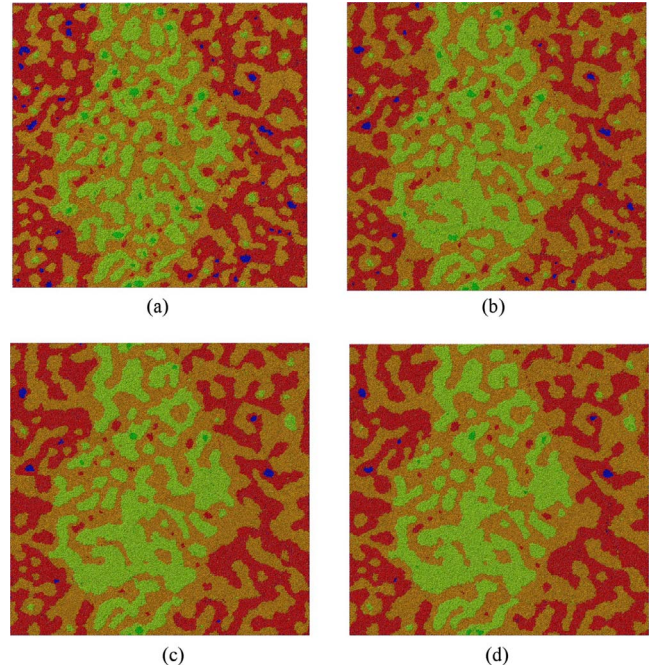


FIG. 9. (Color online) Top views of the system started from Fig. 1(b) and annealed as follows: during the first 1.77 ns at 550 K, thereafter at 1000 K. Annealing times: (a) 1.77, (b) 2.21, (c) 4.00, and (d) 5.16 ns. The atoms are colored according to height, using a different scale than Fig. 1(b). The green atoms are in plane Cu 9. A coarsening of the islands is seen. For atom counts in the planes, see Table III.

times are too short, the simulation area is too small to accommodate even a single island of the size actually observed, and the Cu film is thinner than in the experiments. Nevertheless, it would be interesting to find out if at least a tendency toward dewetting could be identified in the simulations.

The results are shown in Fig. 9 and Table IV. First, the system was annealed at 550 K for 1.76 ns [Fig. 9(a)]. Thereafter the temperature was increased to 1000 K and annealing was continued for another 3.39 ns, leading to a total annealing time of 5.16 ns [Figs. 9(b)–9(d)]. The figures clearly show that some in-plane agglomeration and coarsening of the island structure occurs. In addition, Table IV shows that the occupancy of plane Cu 7 (the yellow atoms in Figs. 9(a)–9(d)) increases significantly, and that of the neighboring planes Cu 6 and 8 also, but to a lesser extent. Conversely, the other Cu planes, from plane Cu 2 onward, have lost atoms at the end of the annealing period. In summary then, the simulations indicate that during annealing there is horizontal as well as vertical transport of Cu atoms, both supporting the tendency toward agglomeration and island formation. These observations agree with what could be reasonably expected, as explained above. The much more pronounced dewetting found in the simulations by Hashibon *et al.*³ was the result of different simulation conditions (see Sec. I) and a higher simulation temperature (1400 K). This makes it almost impossible to compare the two simulation results.

TABLE IV. Cumulative changes in the number of atoms per plane during annealing, see also [Figs. 9(a)–9(d)]. The annealing temperature during the first 1.77 ns was 550 K, thereafter 1000 K.

Plane	After 1.77 ns		After 2.21 ns		After 4.00 ns		After 5.16 ns	
	ΔN_{Ta}	ΔN_{Cu}	ΔN_{Ta}	ΔN_{Cu}	ΔN_{Ta}	ΔN_{Cu}	ΔN_{Ta}	ΔN_{Cu}
Cu 10	0	-15	0	-18	0	-20	0	-18
Cu 9	0	-2182	0	-2255	0	-2564	0	-2651
Cu 8	0	-547	0	1976	0	1741	0	1442
Cu 7	2	5920	1	9572	1	11176	3	11504
Cu 6	14	4687	21	3716	20	3801	22	3833
Cu 5	9	-1795	9	-3248	14	-3578	19	-3579
Cu 4	2	-1967	6	-3436	6	-3785	5	-3805
Cu 3	17	-2383	16	-3952	34	-4408	44	-4381
Ta t+Cu 2	274	-1746	-4	-2418	35	-2549	41	-2480
Ta 0+Cu 1	-316	-11	-47	18	-109	122	-138	73
Ta -1	-17	15	8	16	16	28	7	23
Ta -2	-98	2	-123	7	-130	14	-116	17

VII. CONCLUSIONS

We have performed large-scale molecular-dynamics simulations using Johnson-Oh EAM potentials to study the growth and subsequent annealing of a Cu film (6.3 ML thickness) on a bcc-Ta (100) substrate (area of $100 \times 100 \text{ nm}^2$). The main conclusions are as follows.

Compared to an earlier simulation on a considerably smaller substrate area ($18 \times 18 \text{ nm}^2$), a much richer microstructure is found in the current simulations. Features found here include the presence of grain boundaries throughout the simulation, misfit supercells that enable epitaxy without misfit dislocations, the splitting up of the misfit supercells as the result of continued deposition, and the coarsening and agglomeration of the surface morphology. The present results therefore clearly warn against the use of too small simulation domains, especially in cases where complex strain fields are expected, which may be too strongly limited by the boundary conditions.

The first deposited Cu plane grows heteroepitaxially and essentially defect free on the bcc-Ta (100) surface. The second Cu plane is the most interesting of all planes. It grows in the form of a superstructure of 26-atom misfit supercells which after prolonged film deposition relax energetically, by breaking up in groups and forming 30-Å-wide in-plane island strips separated by fcc $(1/6)\langle 211 \rangle$ vectors. This prolonged deposition is needed to give the planes above the second plane sufficient mechanical rigidity to cause the relaxation to happen. The distorted second Cu monolayer represents an alternative way of enabling epitaxy between very different crystal structures without introducing misfit dislocations. The boundaries between the shifted island strips are

grain boundaries of 1 or 2 ML height. Compared to the higher planes, the second Cu plane contains approximately 2% fewer atoms. The third and higher Cu planes are fcc (111) planes, with grain boundaries as main defects. The fully deposited planes have 1.9% fewer atoms than an ideally close-packed fcc (111) plane. The Cu film is polycrystalline, starting from the second plane up, with two different in-plane crystal orientations. Some 65 intrinsic stacking faults bounded by Shockley partials were found. Practically no vacancies were detected. The influence of a 1 ML Ta terrace step on the substrate is minimal. It turns out that it is not the source of interesting breaks in the film morphology. The most important (and trivial) effect is that—in the same plane—the microstructure of the part of the film that has grown on the terrace lags 1 ML behind the microstructure of the part that has grown outside the terrace area. Upon annealing the film at elevated temperatures, a distinct tendency toward island coarsening and agglomeration is observed, but a full 3D island formation such as found experimentally is not observed.

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